

IN THE SUPREME COURT OF VICTORIA
AT MELBOURNE
COMMERCIAL COURT
CORPORATIONS LIST

S ECI 2020 03281

BETWEEN:

ANTHONY BOGAN and another (according to the Schedule of Parties)

Plaintiffs

- and -

THE ESTATE OF PETER JOHN SMEDLEY (DECEASED) and others (according to the Schedule of Parties)

Defendants

GENERAL FORM OF ORDER

JUDGE: The Honourable Justice Matthews

DATE MADE: 7 August 2025

ORIGINATING PROCESS: Writ filed on 14 August 2020

HOW OBTAINED: On the papers, on the return of the plaintiffs' summons filed 1 July 2025

APPEARANCES: None required

OTHER MATTERS:

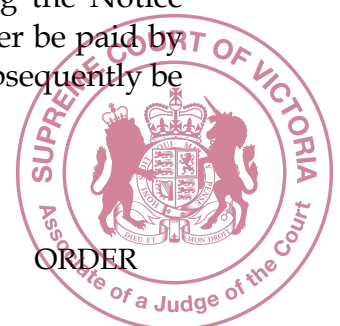
1. The Court's reasons for making the below orders are set out in *Bogan v The Estate of Peter John Smedley (Deceased) (Soft Class Closure Ruling)* [2025] VSC 434 delivered on 21 July 2025 (**Ruling**).
2. This Order is authenticated by the Judge pursuant to Rule 60.02(1)(b) of the *Supreme Court (General Civil Procedure) Rules 2015*.

THE COURT ORDERS THAT:

1. Pursuant to ss 33J, 33X and 33Y and/or 33ZF of the *Supreme Court Act 1986* (Vic) (the **Act**), the notice of registration and opt out to group members issue in the form of the attached notice at **Schedule 1 (Notice)**.
2. Pursuant to s 33ZG of the Act, any group member who wishes to seek any benefit pursuant to any in-principle settlement reached at the mediation of the Proceeding or within the period up to **20 July 2026**, must be a registered group member (as defined in the Notice);



3. Any group member who does not opt out and who has not become registered by the Class Deadline of **15 December 2025** (as set out in the Notice) shall not, without leave of the Court, be permitted to seek any benefit pursuant to any settlement reached on or before **20 July 2026**, but shall remain a group member for the purposes of the proceeding.
4. Pursuant to ss 33X(1)(a), 33X(5) and 33Y of the Act, the Notice is to be given to all Group Members on or before **15 September 2025 (Notification Date)** according to the following procedure:
 - (a) the Plaintiffs shall cause, through their solicitors, a copy of the Notice to be sent to all Group Members recorded as having purchased shares during the Relevant Period (as defined in the Statement of Claim) according to the share register information produced in answer to the subpoena issued in the Proceedings to the Liquidators of Arrium. Such Notice is to be sent by email (where an email address is available), or failing that, by ordinary post. Where an email bounce back is received, the Notice is to be sent by ordinary post (where available) within 5 days of receiving the bounce back;
 - (b) the Registry of the Supreme Court of Victoria in Melbourne shall cause the Notice and the Statement of Claim to be posted on the Supreme Court of Victoria website (and to remain continuously displayed up to and including the conclusion of the proceeding);
 - (c) the Plaintiffs' solicitors shall cause a copy of the Notice and this order and the Registration Form to be displayed on the website of the Plaintiffs' lawyers at www.bantongroup.com, and to remain continuously so displayed up to and including the conclusion of the proceeding;
 - (d) by placing advertisements (in the form of the attached notice at **Schedule 2**) set out at in the Australian Financial Review and metropolitan newspapers in each State and Territory capital city, to be published within 1 week of the Notification Date and in the period 3 to 4 weeks prior to the Class Deadline; and
 - (e) by the Plaintiffs' solicitors sending a copy of the Notice to any group member who has already registered with Banton Group or completed and returned a litigation funding agreement.
5. The Notice may be amended by agreement between the parties before the Notification Date and without any further approval of the Court in order to correct any typographical error or any email, website, postal address or telephone number.
6. If the Notice is amended by the parties in accordance with order 5 above, the Plaintiffs' solicitors shall provide a copy of the amended notice to the Commercial Court Registry of the Supreme Court of Victoria 7 days prior to the Notification Date.
7. Subject to any further order of the Court, the costs of distributing the Notice (including any disbursements incurred) in accordance with this order be paid by the Plaintiffs in the first instance, on the basis that those costs will subsequently be costs in the proceeding.

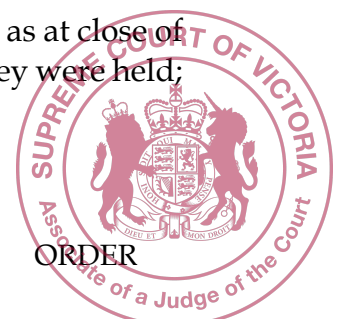


Opt Out

8. Pursuant to s 33J(2) of the Act, any group member who wishes to opt out of this proceeding must complete and submit a completed 'Notice of Opting Out by Group Member' in the form of **Annexure B** to the Notice (**Opt Out Notice**) to the Registry of the Supreme Court of Victoria by email or post by the Class Deadline or online via the Supreme Court website.
9. If, on or before the Class Deadline, the solicitors for any party receive a notice purporting to be an Opt Out Notice referable to this Proceeding, the solicitor must file that notice with the Court, within three (3) days of receipt of the notice by the solicitors and the notice will be treated as an Opt Out Notice received by the Court at the time it was received by the solicitors.
10. The solicitors for the parties have leave to inspect the Court file and to copy any Opt Out Notices filed in the Proceeding.

Registration

11. Pursuant to ss 33ZF and 33ZG of the Act, any Group Member who wishes to participate in any distribution of any in-principle settlement of this proceeding reached at the Court ordered mediation or at any time before or on 20 July 2026 subject to Court approval (**Registered Group Member**) must by the Class Deadline:
 - (a) complete the online registration process through the Banton Group website at arrium.bantongroup.com; or
 - (b) complete and submit a registration form by post or email to the Plaintiffs' solicitors, Banton Group, in the form of **Annexure A** to the Notice; or
 - (c) otherwise provide their name and contact information to solicitors for the Plaintiffs, Banton Group.
12. In order to register their claim, each Group Member must submit the following information (**Share Trade Data**) as part of the registration process:
 - (a) the Group Member's name (or names in the case of joint security holders) and address and/or email address;
 - (b) the full name of the registered owner and any relevant Holder Identification Number (**HIN**) or Security Reference Number (**SRN**) or equivalent reference number (if known) in respect of fully paid ordinary shares in Arrium (**Arrium Securities**) held as at close of trade on 18 August 2014 or acquired during the Relevant Period (as defined in the Statement of Claim);
 - (c) the number of Arrium Securities held by each Group Member as at close of trade on 18 August 2014 and, if known, the exchange on which they were held;
 - (d) the number of Arrium Securities held by each Group Member as at close of trade on 4 April 2016 and, if known, the exchange on which they were held;



- (e) details of each transaction by which the Group Member bought and/or sold Arrium Securities in the period 19 August 2014 to 4 April 2016 including specifying the number of securities bought or sold, the price of purchase or sale, and any brokerage including GST or other costs incurred on the transaction.
- 13. For the avoidance of doubt, the failure by any Group Member to provide all of the information required in order 12 above will not render the Group Member's registration invalid provided the Group Member is identifiable as such based on the information provided.
- 14. The Plaintiffs must submit the Share Trade Data referred to above as part of the registration process.
- 15. A Group Member who has retained Banton Group to act for them in connection with this proceeding or who has registered an interest in participating in the proceeding with Banton Group will be deemed to have complied with orders 11 and 12 provided they provide their Share Trade Data to Banton Group prior to the Class Deadline.
- 16. Pursuant to ss 33ZF and 33ZZG of the Act, subject to further order of the Court, any Group Member who:
 - (a) is not a Registered Group Member; or
 - (b) has not opted out of this proceeding by delivering a completed Opt Out Notice,

shall remain a Group Member for all purposes of this proceeding but shall not, without leave of the Court, be permitted to seek any benefit pursuant to any in-principle settlement (subject to Court approval) of this proceeding reached at the Court ordered mediation or at any time before or on 20 July 2026.

Registered Group Member Information

- 17. By 4pm on 16 February 2026, the Plaintiffs must deliver to the respective solicitors for the Defendants (in electronic form), on a de-identified basis, a list of the Registered Group Members, which list is to contain:
 - (a) a unique identification number for each Registered Group Member; and
 - (b) the Share Trade Data for each Registered Group Member;
 - (c) the Share Trade Data for the Plaintiffs; and
 - (d) any amendments to that information as notified to Banton Group by that date.
- 18. The list of Registered Group Members as notified to Banton Group provided in accordance with order 15 and any information contained therein are to be kept and treated at all times as confidential by:
 - (a) the Defendants' legal advisors;
 - (b) those officers, employees and executor(s) of the Defendants who have conduct of this proceeding on behalf of the Defendants;
 - (c) any insurers of the Defendants (and their legal advisors); and



(d) experts retained by the Defendants in the proceedings.

Other

19. By **17 October 2025**, the Plaintiffs' solicitors are to file and serve an affidavit deposing to matters described in paragraph 68 of the Ruling.
20. No order as to costs.
21. Liberty to apply.

DATE AUTHENTICATED: 8 August 2025

THE HONOURABLE JUSTICE MATTHEWS



SCHEDULE 1

THIS NOTICE IS SENT BY ORDER OF THE SUPREME COURT OF VICTORIA

NOTICE TO GROUP MEMBERS: OPT OUT NOTICE

Anthony Bogan & Anor v The Estate of Peter John Smedley & Ors

Proceeding No. S ECI 2020 03281

(Arrium Class Action)

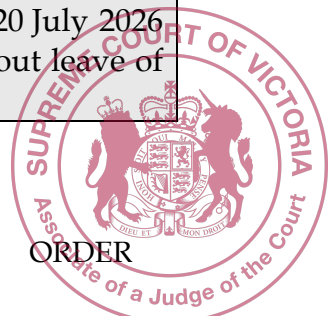
The Supreme Court of Victoria has ordered that you receive this notice because you may be a Group Member in the Arrium Class Action.

THE CLASS DEADLINE IS 15 DECEMBER 2025

**IT IS IMPORTANT THAT YOU READ THIS NOTICE CAREFULLY BECAUSE IT
MAY AFFECT YOUR LEGAL RIGHTS**

As explained in more detail in this notice:

1. **Option 1 – Register.** If you wish to be eligible to receive any compensation that may become available through a settlement of this class action at the Court Ordered Mediation or at any time up to 4 May 2026, you **must** register your interest in accordance with this Notice by **4.00pm AEST on 15 December 2025**.
2. **Option 2 – Opt Out:** If you do not wish to remain a group member you can **opt out of the class action**. The deadline to opt out is **4pm AEST on 15 December 2025 (Class Deadline)**. If you opt out, your claims will not be resolved as part of the Arrium Class Action but you may pursue such rights as you may have independently. The Online Opt Out form is available to complete at <https://www.supremecourt.vic.gov.au/areas/group-proceedings/arrium-shareholder/opting-out> or you can email or post an Opt Out Form (found at Annexure B of this Notice) to the Registry of the Supreme Court of Victoria.
3. **Option 3 – Do nothing.** If you do nothing by the Class Deadline following receipt of this Notice and you have not already registered your claim, you will remain a group member in this class action but, subject to further order of the Court, you will not be permitted to participate in any settlement reached between the Plaintiffs and the Defendants at the Court Ordered Mediation or at any time up to 20 July 2026. This is because, if an in-principle settlement is reached at the Court Ordered Mediation or at any time up to 20 July 2026 the Court has ordered that, then, subject to any further order of the Court, group members who neither opted out nor registered (ie do nothing) by the Class Deadline will be bound by the settlement reached between the Plaintiffs and the Defendants at the Court Ordered Mediation or at any time up to 20 July 2026 but will not be able to seek any benefit under that settlement without leave of the Court.



If you are unsure whether you are a group member, you should contact Banton Group by sending an email to arrium@bantongroup.com, or seek your own legal advice without delay. Do not contact the Supreme Court of Victoria with any questions about this Notice.

1. What is this notice about?

- 1.1 A class action has been commenced in the Supreme Court of Victoria by Mr. Anthony Bogan and Mr. Michael Walton (**Plaintiffs**) on behalf of shareholders who acquired an interest in Arrium Limited (**Arrium**) (ASX:ARI) shares on or after 19 August 2014 to 4 April 2016 (**Claim Period**), and who have suffered loss or damage by or resulting from the alleged wrongful conduct of certain former directors and auditors of Arrium (**Group Members**).
- 1.2 The Plaintiffs' claims concern alleged misrepresentations in ASX Announcements as well as alleged contraventions of the *Corporations Act 2001* (Cth) (**Corporations Act**) and the *Australian Securities and Investments Commission Act 2001* (Cth) (**ASIC Act**) by the named directors, and by Arrium's former auditors KPMG (a firm) (**KPMG**), in relation to their review and audit of Arrium's financial statements.
- 1.3 The defendants to the Proceedings are:
- (a) the estate of Peter John Smedley (deceased) (Mr. Smedley);
 - (b) Andrew Gerard Roberts (Mr. Roberts);
 - (c) Peter Graham Nankervis (Mr. Nankervis);
 - (d) Jeremy Charles Roy Maycock; and
 - (e) KPMG.
- (together "**the Defendants**").
- 1.4 The Defendants deny the allegations and are defending the class action. They have each filed a Defence. The Plaintiffs' Statement of Claim and the Defences of each defendant are available on the Victorian Supreme Court Website. The Statement of Claim is at:
https://www.supremecourt.vic.gov.au/sites/default/files/202102/Writ%20and%20Statement%20of%20Claim%20%2814%20August%202020%29_1.pdf.

The Directors' Amended Defence is at:

<https://www.supremecourt.vic.gov.au/sites/default/files/2022-03/Arrium%20-%20Amended%20Defence%20filed%201%20July%202021.pdf>.

The Defence of KPMG is at:

<https://www.supremecourt.vic.gov.au/sites/default/files/2021-06/Defence%20of%20KPMG%20in%20Arrium.pdf>



- 1.5 The Court has ordered that there be a mediation by no later than 17 April 2026. A mediation is scheduled to occur on 19 March 2026 before the Honourable Justice Peter Jacobson (**Court Ordered Mediation**).
- 1.6 The Plaintiffs' lawyers are Banton Group. A company called Equite Capital No 1 Pte Ltd (201812076W) (**Funder**) was funding the Arrium Class Action pursuant to litigation funding agreements, until the Court made a Group Costs Order, which is further explained in section 4 below.

2. Are you a Group Member in the Arrium Class Action?

- 2.1 You are a Group Member if you meet the following criteria:
- (a) during the Claim Period (19 August 2014 to 4 April 2016), you acquired an interest in one or more fully paid ordinary shares in Arrium (Arrium Shares);
 - (b) you have suffered loss or damage by reason of the conduct of the Defendants as pleaded in the Statement of Claim;
 - (c) you were not, during the Claim Period, any of the following:
 - (i) a related party (as defined by section 228 of the Corporations Act) of Arrium;
 - (ii) a related body corporate (as defined by section 50 of the Corporations Act) of Arrium;
 - (iii) an associated entity (as defined by section 50AA of the Corporations Act) of Arrium;
 - (iv) an officer or close associate of Arrium (as defined by section 9 of the Corporations Act); or
 - (v) a judge or the Chief Justice of the Supreme Court of Victoria.
- 2.2 If you meet the above criteria, you are a Group Member of the Arrium Class Action and you should read this Notice carefully. If you have any questions concerning the matters contained in this Notice, you can:
- (a) visit the Banton Group website at <https://bantongroup/class-actions/>
 - (b) direct your enquiry by phone to 1300 801 754 or (02) 8231 6635; or
 - (c) seek independent legal advice.

3. What is Opt Out ?

- 3.1 Under the Victorian legislation, the Plaintiffs who have commenced this class action do not need to seek the consent of group members to commence the class

action. Group members are bound by any judgment or settlement in the class action. This means that if you choose to stay in the case (by not opting out):

- (a) If the class action is successful or settles, you will be eligible for a share of any settlement or Court awarded damages (subject to further order of the court);
- (b) If the class action is unsuccessful, you are bound by that result; and
- (c) Regardless of the outcome of the class action, you will not be able to pursue your claim against the defendants in separate legal proceedings, unless you have opted out.

3.2 However, if you choose to opt out, you are no longer part of the class action and so you will not get a share of any payment after a successful settlement or judgment. But you will be free to start the same case or a similar case against the defendants if you want to, even if the class action is unsuccessful. There may be time limits which apply to you doing so and so, if you choose to take this course, you should seek legal advice.

4. Funding of the Arrium Class Action

- 4.1 The Plaintiffs in the Arrium Class Action were funded by the Funder who was paying the Plaintiffs' costs of bringing and running the Arrium Class Action pursuant to litigation funding agreements. Under those litigation funding agreements, if the Arrium Class Action is unsuccessful, the Funder would pay any order made against the Plaintiffs to pay the Defendants' costs. Certain Group Members also entered into litigation funding agreements with the Funder.
- 4.2 Subsequently, the Court has made a Group Costs Order (GCO) in the proceedings at a rate of 40% inclusive of GST, subject to further order. There are no "out of pocket" expenses for Group Members. As a result of the GCO, the litigation funding agreements entered into with the Plaintiffs and certain group members were terminated by the Funder, and they no longer apply.
- 4.3 Banton Group is now financing all of its legal costs and disbursements in the Arrium Class Action and intends to enter into a Costs Sharing Agreement with the Funder.
- 4.4 A GCO is an order of the Court that all legal costs and disbursements payable to Banton Group be calculated as a percentage of any damages award or settlement if the class action is successful – that is, if money is paid by the Defendants to resolve the claims of the Plaintiffs and the Group Members in the case. This can happen if (a) the Plaintiffs and the Defendants settle the case in principle at a



mediation and the Court approves the settlement, or (b) through a judgment after the case is heard by the Court in a trial, or otherwise.

4.5 If the Arrium Class Action is successful:

- (a) Subject to any variation of the existing GCO, Banton Group will be paid 40% (inclusive of GST) of any damages awarded or any settlement sum approved by the Court in accordance with that GCO, and 60% of those damages or that settlement sum will be distributed to Group Members;
- (b) The Court will assess whether the costs proposed to be deducted from any settlement sum are fair and reasonable. You will be given a notice at that time informing you of the amount which it is proposed to be deducted and given an opportunity to tell the Court if you agree or disagree with what is proposed.

4.6 If the Arrium Class Action is unsuccessful:

- (a) Group Members will NOT pay any costs;
- (b) An adverse costs order cannot be made against you as a Group Member. In litigation the Court will typically order the losing party to pay a proportion of the legal costs of the successful party. The effect of the GCO is that, if the class action is unsuccessful, Banton Group will be liable to pay any costs payable to the Defendants in the Arrium Class Action and will not be entitled to recover from the Plaintiffs or Group Members any legal costs or disbursements incurred or paid on their behalf in relation to the class action.

4.7 If you choose to remain a class member (and do not opt out) you will be bound by the outcome of the class action. If the class action is successful at Court and judgment is awarded in favour of the Plaintiffs, you may be entitled to the benefit of any order or judgment made. If the action is unsuccessful or is not as successful as you might have wished you will not be able to bring proceedings or take action against the defendants in respect of the same claims or in relation to the matters the subject of the allegations made against the defendants in this proceeding.

4.8 If the class action is settled by negotiation at the Court Ordered Mediation or at any time up to 20 July 2026, and that settlement is approved by the Court, and if you have registered in accordance with Option 1 below, you may be entitled to share in the benefit of any settlement.



5. Your Options

- 5.1 If you fit within the definition of a “Group Member” the following three options are available to you:

Option 1: Register

If you wish to receive a share of any settlement monies resulting from a settlement at the Court Ordered Mediation or at any time up to 20 July 2026, you must complete your registration details on arrium.bantongroup.com, before **4pm AEDT on 15 December 2025**. If you are not able to access a computer to connect to the portal, you can:

- (a) make an enquiry about registration on the portal by phone to 1300 801 754 or (02) 8231 6635; or
- (b) complete the Registration Form at Annexure A and send it with your supporting documents to the Plaintiffs’ solicitors, Banton Group, by email to arrium@bantongroup.com or by post to:

Banton Group
Level 12
60 Martin Place
Sydney NSW 2000

before **4pm AEDT on 15 December 2025**.

If you have previously completed and returned a litigation funding agreement with the Funder in the Arrium Class Action, you do not have to take any further steps to register your claim. This is because you have already taken the steps necessary to register your claim.

If you register for the Arrium Class Action:

- (A) you may be eligible to receive compensation as part of any settlement prior to judgment (provided that it is approved by the Court and you satisfy any eligibility requirements); and
- (B) you will be bound by any resolution of the class action (meaning that you will not be permitted to bring any future claims related to the subject matter litigated in the Arrium Class Action).

Option 2: Opt Out

- (a) If you do not wish to be a Group Member in the Arrium Class Action, you must opt out by **4.00pm AEDT on 15 December 2025**. The Plaintiffs in a class



action do not need to seek the consent of group members to commence a class action on their behalf or to identify a specific group member. However, group members can cease to be group members by opting out of the class action.

- (b) If you opt out of the class action:
- (i) you will not be bound by any order, judgment or settlement in the Arrium Class Action;
 - (ii) you will not be entitled to share in the benefit of any order, judgment or settlement in the Arrium Class Action;
 - (iii) you will be free to commence your own claim against the Defendants, provided you commence that proceeding within the applicable time limit. You should seek your own legal advice about your claim and the applicable time limit before opting out.
- (c) Each Group Member seeking to opt out must by no later than **4.00pm AEDT on 15 December 2025** either:
- (i) complete and submit an online opt out via the Supreme Court of Victoria website at <https://www.supremecourt.vic.gov.au/areas/group-proceedings/arrium-shareholder/opting-out>; or
 - (ii) complete an Opt Out Form as found at **Annexure B** of this Notice and submit it by **4pm AEDT on 15 December 2025** directly to the Registry of the Supreme Court of Victoria by email to arriumclassaction@supcourt.vic.gov.au or post to:

Commercial Court Registry
Supreme Court of Victoria
210 William Street
Melbourne Victoria 3000

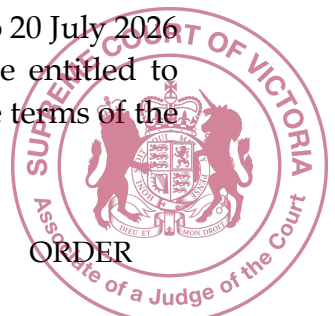
Opt Out Forms received after 4.00pm (AEDT) on 15 December 2025 will not be accepted without leave of the Court and you will be treated as having not responded to this Notice.

Option 3: Do nothing and remain a Group Member

If you do nothing following receipt of this Notice (ie neither opt out or register), and you have not previously competed and returned a litigation funding agreement in the Arrium Class Action, you will remain an unregistered Group Member in the Arrium Class Action.

The practical implications of doing nothing in response to this Notice as follows:

- (a) If an in-principle settlement is reached between the Plaintiffs and the Defendants at the Court Ordered Mediation or at any time up to 20 July 2026 and the settlement is approved by the Court, you will not be entitled to participate in that settlement. Further, you will be bound by the terms of the



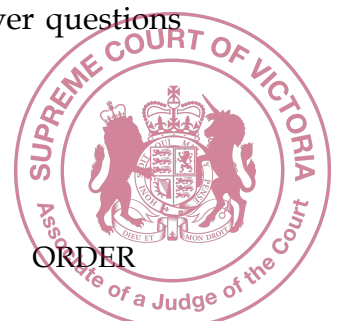
settlement including any releases (despite not being eligible to participate in the settlement). This means that your right to bring a claim against the Defendants for matters related to those canvassed in the Arrium Class Action will be extinguished;

- (b) If there is no settlement prior to final judgment, you will be bound by the final judgment, and you will be entitled to obtain compensation and/or damages (if you satisfy the eligibility criteria set down by the Court) in the event that the Court finds in favour of the Plaintiffs and Group Members. If the Arrium Class Action is unsuccessful or is not as successful as you might have wished, you will not be able to sue on the same claim in any other proceedings;
- (c) It is open to the Plaintiffs and the Defendants to apply to the Court to extend the period during which only registered group members are permitted to seek any benefit pursuant to any subsequent settlement. It is unknown whether this will occur, and unknown what, if any, notice the Court will order to be provided to Group Members at that point. Accordingly, it is possible that if you remain an unregistered Group Member, you will not, without leave of the Court, be permitted to seek any benefit pursuant to any settlement of the Arrium Class Action even if that settlement occurs after 20 July 2026.

Consequently, if you do not want to risk missing out on the option to participate in any settlement of the Arrium Class Action, you should register your claim as per Option 1 above.

6. Where can you get more information or ask questions?

- 6.1 You can obtain copies of relevant documents by:
- (a) Downloading them from Banton Group's website at <https://bantongroup.com>
 - (b) Emailing Banton Group at arrium@bantongroup.com
 - (c) Inspecting them by visiting the Registry of the Supreme Court of Victoria in Melbourne at 450 Little Bourke Street, Melbourne; or
 - (d) Downloading them from the Supreme Court website at <https://www.supremecourt.vic.gov.au/areas/group-proceedings/arrium-shareholder>.
- 6.2 If there is anything you are unsure about you can contact the Plaintiffs' solicitors Banton Group by email (arrium@bantongroup.com) who will answer questions about this notice, or seek your own legal advice.



ANNEXURE A

OPTION 1 : GROUP MEMBER REGISTRATION FORM

ARRIUM CLASS ACTION

IN THE SUPREME COURT OF VICTORIA PROCEEDING NO S ECI 2020 03281

ANTHONY BOGAN & ANOR V THE ESTATE OF PETER JOHN SMEDLEY DECEASED
& ORS

If you would like to **register** for the Arrium Class Action please:

1.Register at arrium.bantongroup.com; or

2.Return this completed form and your supporting documents to Banton Group by email at: arrium@bantongroup.com; or 3.Return this completed form and your supporting documents by post to:

Banton Group
Level 12, 60 Martin Place
Sydney NSW
Australia 2000

by **4.00pm AEDT on 15 December 2025**.

The below named group member registers as a group member entitled to participate in any settlement of the proceeding:

Contact Details	
First name	
Surname	
Address	
Email address	
Telephone number	
Security Holder Details	
Name of security holder	
HIN/SRN/Reference number	
Number of Arrium shares held at close of trade 18 August 2014	
Number of Arrium shares held at close of trade 4 April 2016	
Acquisition Details	
Date of acquisition	
Quantity	



Price of shares Total amount paid (including any brokerage)	
Date of acquisition Quantity Price of shares Total amount paid (including any brokerage)	
Date of acquisition Quantity Price of shares Total amount paid (including any brokerage)	
Date of acquisition Quantity Price of shares Total amount paid (including any brokerage)	
Date of acquisition Quantity Price of shares Total amount paid (including any brokerage)	
Date of acquisition Quantity Price of shares Total amount paid (including any brokerage)	
Date of acquisition Quantity Price of shares Total amount paid (including any brokerage)	
Date of acquisition Quantity Price of shares Total amount paid (including any brokerage)	
Disposal Details	
Date of disposal Quantity Price of shares Total amount paid (including any brokerage)	
Date of disposal Quantity Price of shares Total amount paid (including any	



brokerage)	
Date of disposal Quantity Price of shares Total amount paid (including any brokerage)	
Date of disposal Quantity Price of shares Total amount paid (including any brokerage)	
Date of disposal Quantity Price of shares Total amount paid (including any brokerage)	

Add additional lines or attach a further page which sets out all Acquisitions and Disposals between 19 August 2014 and 4 April 2016

Attach and list supporting documents:

Date:.....

Signed:.....**Name:**.....



ANNEXURE B

OPTION 2 : NOTICE OF OPTING OUT BY GROUP MEMBER

Arrium Class Action

Anthony Bogan & anor v The Estate of Peter John Smedley Deceased & Ors

ONLY COMPLETE THIS FORM IF YOU WISH TO **OPT OUT** OF THE ARRIUM CLASS ACTION.
IF YOU OPT OUT, YOU WILL **NO LONGER** BE A GROUP MEMBER.

IF YOU WANT TO **REGISTER**, DO NOT COMPLETE THIS FORM. YOU MUST COMPLETE
OPTION 1: REGISTRATION ONLINE AT arrium.bantongroup.com

To:

Commercial Court Registry
Supreme Court of Victoria
210 William Street
Melbourne VIC 3000
arriumclassaction@supcourt.vic.gov.au

I, [print name]....., am
(select **one** option only)

- ☐ a group member;
- ☐ a director of [company].....which is a group member;
- ☐ an Executor for the Estate of [print name].....who is a group member;
- ☐ a power of attorney for [print name].....who is a group member; or
- ☐ a solicitor acting for [print name].....who is a group member.

in the above group proceeding, and give notice under section 33J(2) of the *Supreme Court Act 1986* (Vic) that I am **opting out** of this proceeding (if a group member) or on behalf of the group member whom I represent (if I am a representative of that group member).

Dated:	
Email address of group member:	
Address of group member:	
Signature of group member, director of group member, Executor, power of attorney or solicitor for group member:	

If you would like to opt out of the Arrium Class Action, please complete this form online via the Supreme Court of Victoria website OR return this completed form to the Supreme Court of Victoria by email or by post, at the addresses on this form, by 4:00pm (AEDT) on 15 December 2025



SCHEDULE 2

Supreme Court of Victoria Proceeding:

Arrium Class Action

NOTICE TO GROUP MEMBERS

This shareholder class action alleges claims of misleading and deceptive conduct against certain former directors, and alleged claims against the external auditors, KPMG, of Arrium Limited (**Arrium**), an Australian ASX listed company, that mined iron ore, and produced steel and mining consumables, that was listed on the Australian Securities Exchange (ASX:ARI) in the period between 19 August 2014 and 4 April 2016.

You are a group member if you purchased, or acquired any interest in, Arrium shares between 19 August 2014 and 4 April 2016.

1. The Supreme Court of Victoria has directed group members to determine by the deadline of 15 December 2025 whether they wish to: Register in the class action to ensure their right to any compensation that may become available in the class action pursuant to any settlement (subject to Court approval); or
2. Opt out of the class action; or
3. Remain part of the class action without registering.

If you think you may be a group member, visit arrium.bantongroup.com to register. If you do not have access to the internet you can contact 1300 801 754 or (02) 8231 6635 to complete your registration. The long-form notice is also available at <https://bantongroup.com/class-actions/>. You should read this carefully. If there is anything that you do not understand, you should seek independent legal advice.

If you take no action, you may lose any right to receive compensation or to pursue any separate action on your claim



SCHEDULE OF PARTIES

S ECI 2020 03281

BETWEEN:

ANTHONY BOGAN

First Plaintiff

MICHAEL THOMAS WALTON

Second Plaintiff

- and -

THE ESTATE OF PETER JOHN SMEDLEY (DECEASED)

First Defendant

ANDREW GERARD ROBERTS

Second Defendant

PETER GRAEME NANKERVIS

Third Defendant

JEREMY CHARLES ROY MAYCOCK

Fourth Defendant

KPMG (A FIRM) (ABN 51 194 660 183)

Fifth Defendant

